

Open Access Policy Alignment Strategies
for European Union Research

PASTEUR40A



**Open Access Policy Development and Alignment: the
PASTEUR40A project**

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Grant Agreement

- **PASTEUR40A:** Open Access Policy Alignment Strategies for European Union Research
- **Grant Agreement:** 611742
- **Theme:** Science in Society
- **Topic:** SiS.2013.1.3.3-1: Upstream support to the definition, development and implementation of Open Access strategies and policies and to their coordination in the European Research Area;
- **Type :** CSA
- **Duration:** February 2014 – July 2016 (30 months)
- **Partners:** 15 partners from 10 countries
- **Website:** <http://www.pasteur4oa.eu/>

PASTEUR4OA Consortium



1. National Documentation Centre (EKT)-GR
2. Enabling Open Scholarship (EOS)-NL
3. Universidade do Minho (Uminho)-PT
4. University of Oslo (CRISTin) –NO
5. EIFLNet-NL
6. EuroCris-NL
7. Hungarian Academy of Sciences-HU
8. Politecnico di Torino (POLITO)-IT
9. LIBER-NL
10. Sparc Europe- NL
11. Jisc-UK
12. Hacettepe University-TR
13. Open Knowledge Foundation (OKF)-UK
14. Fonds National de la Recherche Scientifique (FNRS)-BE
15. Research Council of Lithuania-LT

The aim

To stimulate and support open access policies across Europe, which are aligned to H2020

The means

1. **Engage policymakers** (directly and indirectly through Network)
2. **Develop evidence-based policy advocacy materials** and good practice case studies
3. **The Knowledge Net-** A coordinated network of expert organizations across Europe to work together- to promote, coordinate and contribute to aligning policies

The Knowledge Net

- » Helps **understand current status quo** in different regions and MS'
 - › plan advocacy and activities accordingly
- » Affords **coordinated policymaker engagement**
 - › Policymaker-engagement through the Key Nodes
- » Maintains **minimum shared levels of expertise/improves expertise** of Key Nodes
 - › Continuous flow of information between members at regional level

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Knowledge Net

Regional Groups

Regional Coordinators

| | Nordic group | North West Europe group | South West Europe group | East Europe group | South East Europe group |
|--|---|--------------------------|-------------------------|---------------------------------------|-------------------------------|
| | CRiStin | EOS | UMINHO | EIFL | EKT |
| | Roskilde University Library | FWF/OANA | Politecnico di Torino | Ruđer Bošković Institute | Bulgarian Academy of Sciences |
| | Lappeenranta University of Technology | FRS-FNRS | University of Malta | VŠB - Technical University of Ostrava | University of Cyprus |
| | National University Hospital of Iceland | Couperin | UMINHO | Estonian Research Council | EKT/NHRF |
| | UiOs | Helmholtz Association | Fecyt | MTA Konyvtar | University of Belgrade |
| | National Library of Sweden | Health Research Board | | University of Ljubljana LMT | Hacettepe University |
| | | University of Luxembourg | | Centrum Cyfrowe | Metamorphosis Foundation |
| | | TU Delft | | Association AnelisPlus | |
| | | Jisc | | SCCT | |
| | | | | University of Ljubljana | |

5 Regional groups

5 PASTEUR RCs

1 Key Node per MS

<http://pasteur4oa.eu/key-nodes>

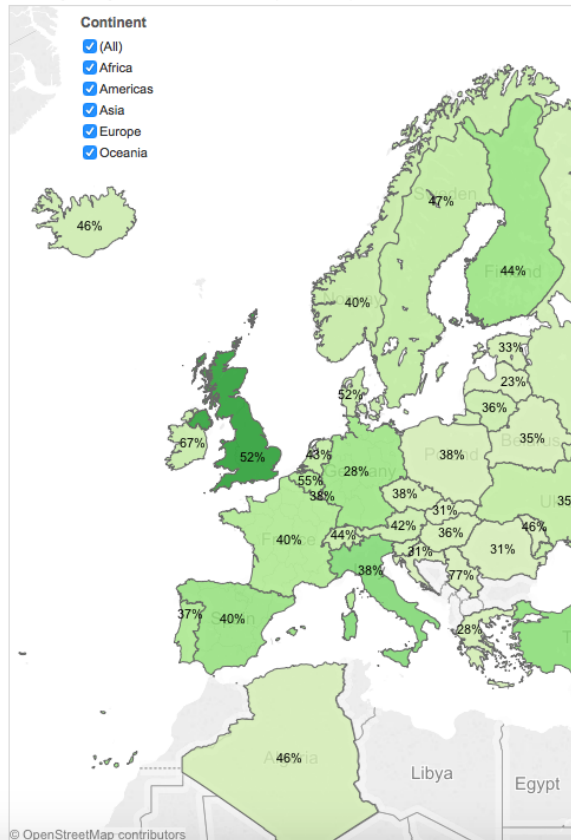
Targeted advocacy resources

- » Policy guidelines for RFOs and RPOs
- » Institutional case studies
- » National case studies
- » Funder case studies
- » Briefing papers (monitoring, impact assessment, practical info on policy development, APCs, among others)
- » Evidence-based studies on designing effective policies
- » <http://pasteur4oa.eu/resources>
- » Also in <https://zenodo.org/collection/user-pasteur4oa>
- » And..... pasteur4oa policy newsletter at the website

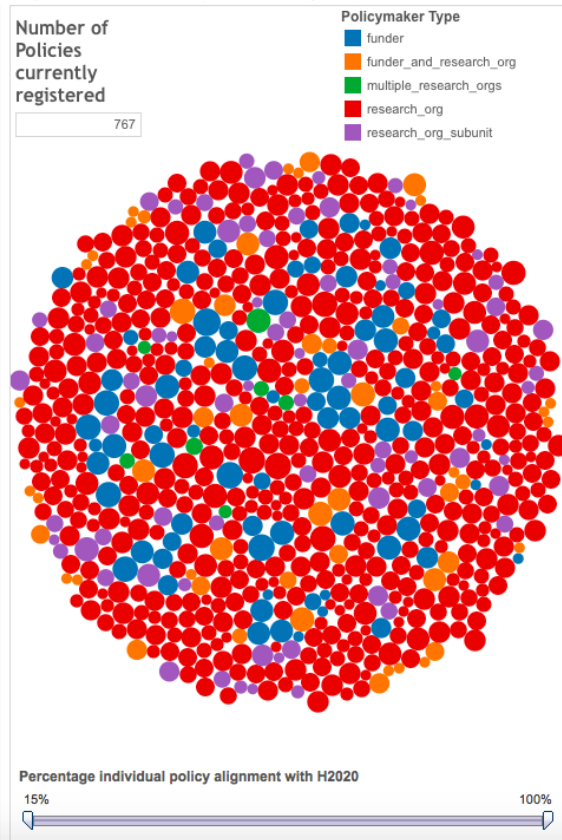
- » Monitoring compliance
- » Alignment checklist
- » Practical Information for Research Performing Organizations on Policy Development, Implementation, Effectiveness and Alignment
- » Open Access Policy Typology: A briefing paper for research institutions
- » Open Access policy effectiveness: A briefing paper for research institutions
- » Open Access policy guidelines for research institutions

Registered policies and alignment

Average alignment to H2020 by country



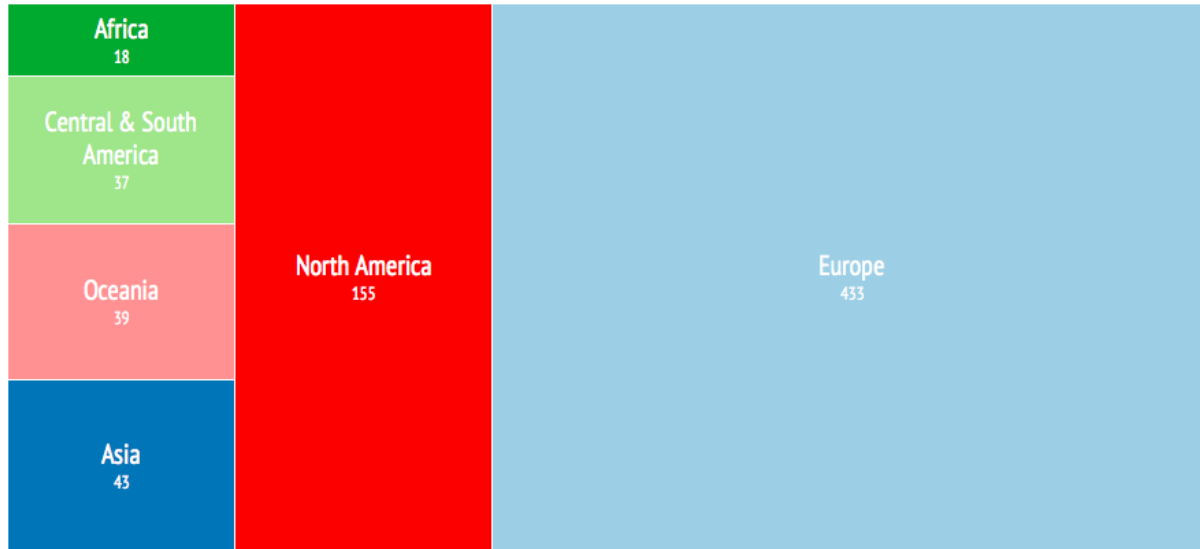
Alignment to H2020 by individual policy



ROARMAP

<http://roarmap.eprints.org/dataviz.html>

ROARMAP DATA



- Mostly institutions (over 550)

ROARMAP: Open Access Policies Worldwide by Continent

<http://pasteur4oa-dataviz.okfn.org/worldwide.html>

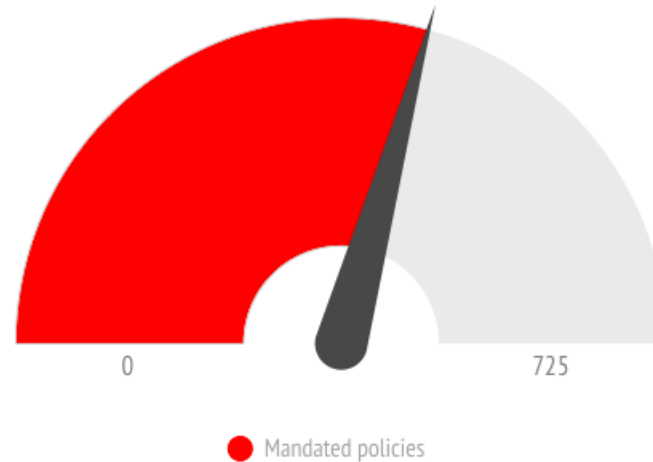
Mandatory or voluntary policies ?

★ **425 (59%)**

Policies require Gold OA publishing or deposit of item

★ **300 (41%)**

Policies do not fully mandate - only recommend or other approach



Increasing number of RPOs and RFOs **mandate** open access policies

- » Direct or indirect policymaker engagement in many European countries (of different kinds, levels and intensity)
 - › Direct project assistance and expertise with policy development for numerous countries and organizations
 - › Developing and/or maintaining national open access working groups
 - › Informing NPRs of developments
 - › Organize ten workshops across the EU (funders/RPOs)
 - SE Europe RPO workshop 03/11/2015

Contact...

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