

Open Access Policy Alignment Strategies
for European Union Research

PASTEUR40A



**Open Access Policy Development and Alignment: the
PASTEUR40A project**

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Grant Agreement

- **PASTEUR40A:** Open Access Policy Alignment Strategies for European Union Research
- **Grant Agreement:** 611742
- **Theme:** Science in Society
- **Topic:** SiS.2013.1.3.3-1: Upstream support to the definition, development and implementation of Open Access strategies and policies and to their coordination in the European Research Area;
- **Type :** CSA
- **Duration:** February 2014 – July 2016 (30 months)
- **Partners:** 15 partners from 10 countries
- **Website:** <http://www.pasteur4oa.eu/>

PASTEUR4OA Consortium



1. National Documentation Centre (EKT)-GR
2. Enabling Open Scholarship (EOS)-NL
3. Universidade do Minho (Uminho)-PT
4. University of Oslo (CRISTin) –NO
5. EIFLNet-NL
6. EuroCris-NL
7. Hungarian Academy of Sciences-HU
8. Politecnico di Torino (POLITO)-IT
9. LIBER-NL
10. Sparc Europe- NL
11. Jisc-UK
12. Hacettepe University-TR
13. Open Knowledge Foundation (OKF)-UK
14. Fonds National de la Recherche Scientifique (FNRS)-BE
15. Research Council of Lithuania-LT

The aim

To stimulate and support open access policies across Europe, which are aligned to H2020

The means

1. **Engage policymakers** (directly and indirectly through Network)
2. **Develop evidence-based policy advocacy materials** and good practice case studies
3. **The Knowledge Net-** A coordinated network of expert organizations across Europe to work together- to promote, coordinate and contribute to aligning policies

The Knowledge Net

- » Helps **understand current status quo** in different regions and MS'
 - › plan advocacy and activities accordingly
- » Affords **coordinated policymaker engagement**
 - › Policymaker-engagement through the Key Nodes
- » Maintains **minimum shared levels of expertise/improves expertise** of Key Nodes
 - › Continuous flow of information between members at regional level

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Knowledge Net

Regional Groups

Regional Coordinators

	Nordic group	North West Europe group	South West Europe group	East Europe group	South East Europe group
	CRiStin	EOS	UMINHO	EIFL	EKT
	Roskilde University Library	FWF/OANA	Politecnico di Torino	Ruđer Bošković Institute	Bulgarian Academy of Sciences
	Lappeenranta University of Technology	FRS-FNRS	University of Malta	VŠB - Technical University of Ostrava	University of Cyprus
	National University Hospital of Iceland	Couperin	UMINHO	Estonian Research Council	EKT/NHRF
	UiOs	Helmholtz Association	Fecyt	MTA Konyvtar	University of Belgrade
	National Library of Sweden	Health Research Board		University of Ljubljana LMT	Hacettepe University
		University of Luxembourg		Centrum Cyfrowe	Metamorphosis Foundation
		TU Delft		Association AnelisPlus	
		Jisc		SCCT	
				University of Ljubljana	

5 Regional groups

5 PASTEUR RCs

1 Key Node per MS

<http://pasteur4oa.eu/key-nodes>

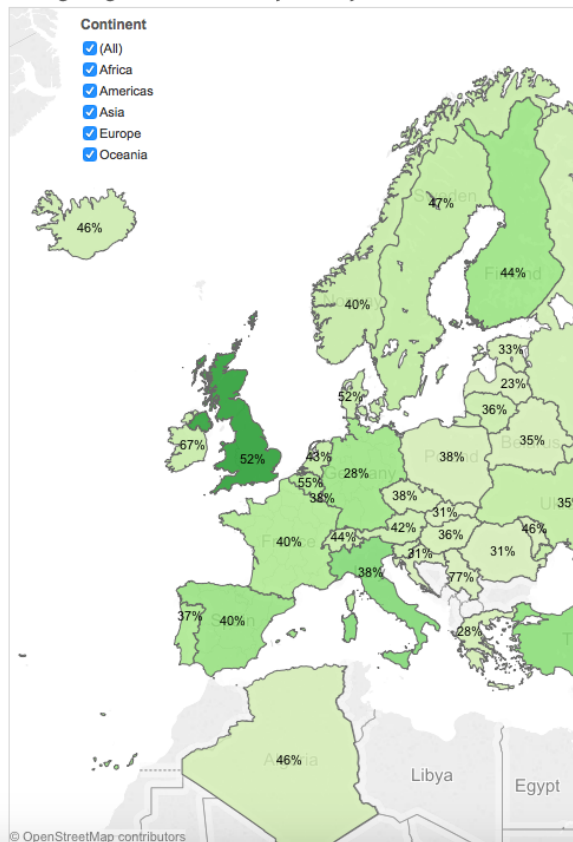
Targeted advocacy resources

- » Policy guidelines for RFOs and RPOs
- » Institutional case studies
- » National case studies
- » Funder case studies
- » Briefing papers (monitoring, impact assessment, practical info on policy development, APCs, among others)
- » Evidence-based studies on designing effective policies
- » <http://pasteur4oa.eu/resources>
- » Also in <https://zenodo.org/collection/user-pasteur4oa>
- » And..... pasteur4oa policy newsletter at the website

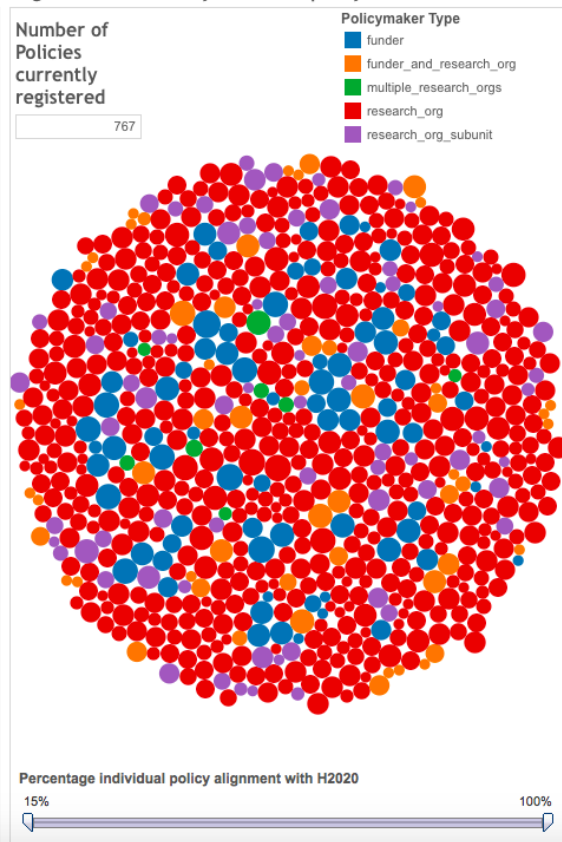
- » Monitoring compliance
- » Alignment checklist
- » Practical Information for Research Performing Organizations on Policy Development, Implementation, Effectiveness and Alignment
- » Open Access Policy Typology: A briefing paper for research institutions
- » Open Access policy effectiveness: A briefing paper for research institutions
- » Open Access policy guidelines for research institutions

Registered policies and alignment

Average alignment to H2020 by country



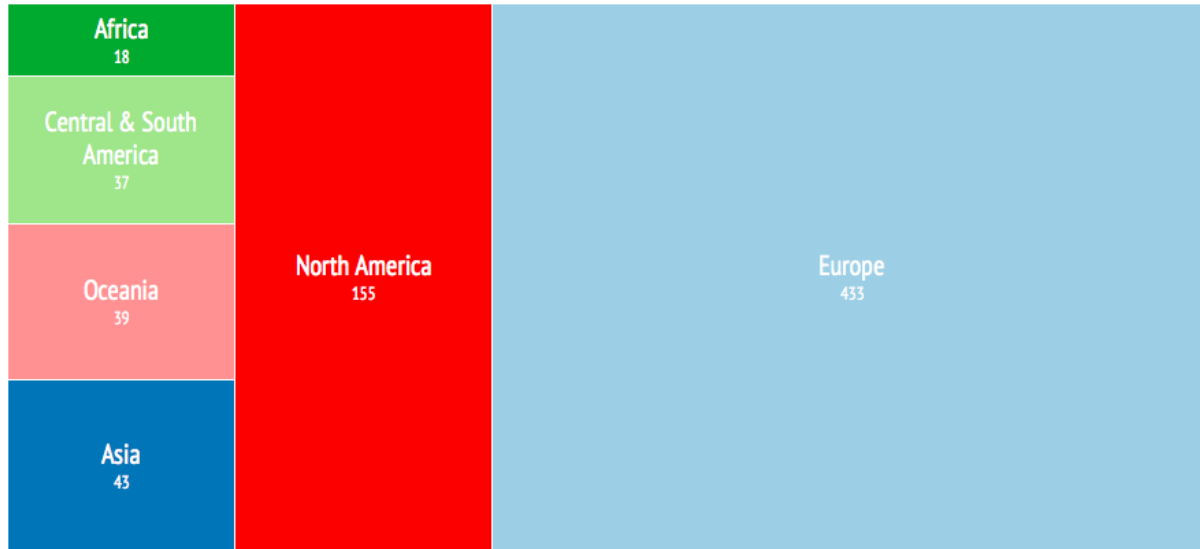
Alignment to H2020 by individual policy



ROARMAP

<http://roarmap.eprints.org/dataviz.html>

ROARMAP DATA



- Mostly institutions (over 550)

ROARMAP: Open Access Policies Worldwide by Continent

<http://pasteur4oa-dataviz.okfn.org/worldwide.html>

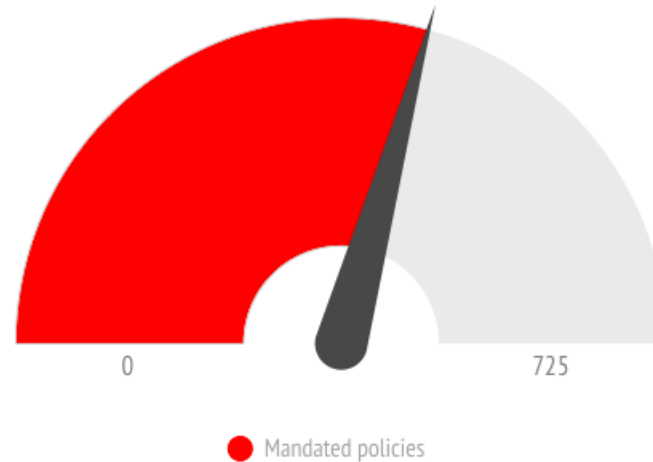
Mandatory or voluntary policies ?

★ **425 (59%)**

Policies require Gold OA publishing or deposit of item

★ **300 (41%)**

Policies do not fully mandate - only recommend or other approach



Increasing number of RPOs and RFOs **mandate** open access policies

- » Direct or indirect policymaker engagement in many European countries (of different kinds, levels and intensity)
 - › Direct project assistance and expertise with policy development for numerous countries and organizations
 - › Developing and/or maintaining national open access working groups
 - › Informing NPRs of developments
 - › Organize ten workshops across the EU (funders/RPOs)
 - SE Europe RPO workshop 03/11/2015

Contact...

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