



How Open is Your Research?

This questionnaire is designed to enable institutions to assess: a) the openness of their research and teaching; b) the level of awareness of openness in their researchers; and c) the level of awareness and use by researchers of institutional support. It covers the whole process of undertaking and disseminating scholarly and scientific research, and teaching, including for instance awareness of and adherence to policies and strategies.

Scoring is either yes/no/don't know or on a scale of 0 to 4, where 0 signifies no compliance or implementation and 4 signifies full compliance or implementation.

1. About yourself

| | | |
|-----------------------------|-------------------------------|----------|
| Would you class yourself as | an early career researcher? | tick box |
| | a mid-career researcher? | tick box |
| | a late career researcher? | tick box |
| Is your discipline | Scientific/Technical/Medical? | tick box |
| | Humanities/Social Sciences? | tick box |

2. Policies and strategies on Open Access and Open Data

Are you aware of supra-national policies (e.g. the European Horizon 2020 Open Access policy)?

| | |
|-----------------|--------|
| For Open Access | Yes/No |
| For Open Data | Yes/No |

Do you normally comply with supra-national policies (e.g. Horizon 2020)?

| | |
|-----------------|-------------------|
| For Open Access | Yes/No/Don't know |
| For Open Data | Yes/No/Don't know |

Are you aware of national policies (e.g. your national research funder's policy)?

| | |
|-----------------|--------|
| For Open Access | Yes/No |
| For Open Data | Yes/No |

Do you normally comply with these national policies?

| | |
|-----------------|-------------------|
| For Open Access | Yes/No/Don't know |
| For Open Data | Yes/No/Don't know |

Are you aware of your institution's policies?

| | |
|-----------------|--------|
| For Open Access | Yes/No |
| For Open Data | Yes/No |

Do you normally comply with your institution's policies?

| | |
|-----------------|-------------------|
| For Open Access | Yes/No/Don't know |
| For Open Data | Yes/No/Don't know |

3. Open Access (OA) publishing

(Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%;4=75-100%)

How many research outputs (articles, monographs, conference proceedings etc.) have you published in the last 10 years? 0-5

| | |
|---|-----------|
| | 6-10 |
| | 11-20 |
| | over 20 |
| What percentage of these are Open Access? | 0 1 2 3 4 |
| Do you intend to make your next publication Open Access? | Yes/No |
| Are you aware of the difference between Green and Gold Open Access? | Yes/No |
| Are you aware of funding for Gold Open Access? | Yes/No |
| Do you encourage students to make their dissertations/theses Open Access? | Yes/No |

4. Institutional support for OA publishing - awareness

| | |
|--|--------|
| Are you aware of your institution's support for Open Access? | |
| Repository/self-archiving | Yes/No |
| Copyright/licensing advice | Yes/No |
| Advice on funders' requirements | Yes/No |
| Advice on publishers | Yes/No |
| Advice on article processing costs | Yes/No |
| Funds for article processing costs | Yes/No |
| Web pages and links | Yes/No |
| Helpline | Yes/No |
| Presentations, briefing sessions etc. | Yes/No |
| Events (e.g. Open Access Week) | Yes/No |
| Email lists | Yes/No |
| One-to-one advice | Yes/No |
| Newsletters | Yes/No |
| Blogs | Yes/No |
| Social media (e.g. Twitter) | Yes/No |
| VLE courses | Yes/No |

5. Institutional support for OA publishing - use

| | |
|---|--------|
| Have you used your institution's support for Open Access? | |
| Repository/self-archiving | Yes/No |
| Copyright/licensing advice | Yes/No |
| Advice on funders' requirements | Yes/No |
| Advice on publishers | Yes/No |
| Advice on article processing costs | Yes/No |
| Funds for article processing costs | Yes/No |
| Web pages and links | Yes/No |
| Helpline | Yes/No |
| Presentations, briefing sessions etc. | Yes/No |
| Events (e.g. Open Access Week) | Yes/No |
| Email lists | Yes/No |
| One-to-one advice | Yes/No |
| Newsletters | Yes/No |
| Blogs | Yes/No |
| Social media (e.g. Twitter) | Yes/No |
| VLE courses | Yes/No |

6. Open Data

(Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%;4=75-100%)

| | |
|--|-----------|
| Of your research outputs published in the last 10 years, what percentage make the underlying non-sensitive data open access? | 0 1 2 3 4 |
| Do you intend to make the underlying non-sensitive data of your next publication open access? | Yes/No |
| Do you have Data Management Plan(s) for research programme(s) | Yes/No |
| Do you provide information to aid manipulation/re-use of datasets | Yes/No |
| Do you provide tools for manipulation of research datasets | Yes/No |
| Do you provide open source tools for manipulation of datasets | Yes/No |

7. Institutional support for Open Data - awareness

Are you aware of your institution's support for Open Data (e.g. repository, advice)?

| | |
|--|--------|
| Repository | Yes/No |
| Advice on Data Management Planning (DMP) | Yes/No |
| Advice on data storage and backup | Yes/No |
| Advice on data organisation | Yes/No |
| Advice on data selection | Yes/No |
| Advice on data security | Yes/No |
| Advice on data sharing | Yes/No |
| Advice on documentation | Yes/No |
| Advice on Data Protection/ethics | Yes/No |
| Advice on copyright/licensing | Yes/No |
| Advice on funders' requirements | Yes/No |
| Web pages and links | Yes/No |
| Helpline | Yes/No |
| Presentations, workshops etc. | Yes/No |
| Events (e.g. Open Access Week) | Yes/No |
| Email lists | Yes/No |
| One-to-one advice | Yes/No |
| Newsletters | Yes/No |
| Blogs | Yes/No |
| Social media (e.g. Twitter) | Yes/No |
| VLE courses | Yes/No |

8. Institutional support for Open Data - use

Have you used your institution's support for Open Data?

| | |
|--|--------|
| Repository | Yes/No |
| Advice on Data Management Planning (DMP) | Yes/No |
| Advice on data storage and backup | Yes/No |
| Advice on data organisation | Yes/No |
| Advice on data selection | Yes/No |
| Advice on data security | Yes/No |
| Advice on data sharing | Yes/No |
| Advice on documentation | Yes/No |
| Advice on Data Protection/ethics | Yes/No |
| Advice on copyright/licensing | Yes/No |

| | |
|---------------------------------|--------|
| Advice on funders' requirements | Yes/No |
| Web pages and links | Yes/No |
| Helpline | Yes/No |
| Presentations, workshops etc. | Yes/No |
| Events (e.g. Open Access Week) | Yes/No |
| Email lists | Yes/No |
| One-to-one advice | Yes/No |
| Newsletters | Yes/No |
| Blogs | Yes/No |
| Social media (e.g. Twitter) | Yes/No |
| VLE courses | Yes/No |

9. Open Educational Resources (OER)

Have you made available any of your teaching materials?

| | |
|----------------------------|--------|
| Lecture notes/slides | Yes/No |
| Videos | Yes/No |
| Images | Yes/No |
| Software | Yes/No |
| Tests | Yes/No |
| Quizzes | Yes/No |
| Games | Yes/No |
| Simulations | Yes/No |
| Articles you have written | Yes/No |
| Textbooks you have written | Yes/No |
| Full courses | Yes/No |
| Other | Yes/No |

10. Open Science in general

| | |
|---|--------|
| Do you make your research notes (laboratory note books etc.) Open Access? | Yes/No |
| Do you use open source software? | Yes/No |
| If you develop software, do you use open standards? | Yes/No |
| Are you aware of open licences (such as the Creative Commons licences)? | Yes/No |
| Have you made your successful funding applications Open Access? | Yes/No |
| Do you have an ORCID identifier? | Yes/No |

11. Scholarly communication

(Give percentages on the scale 0=0; 1=1-25%; 2=25-50%; 3=50-75%;4=75-100%)

| | |
|---|-----------|
| If you serve on any editorial boards, what percentage of the journals are: | |
| Open Access? | 0 1 2 3 4 |
| 'Hybrid' Open Access (subscription journals that also include individual openly accessible papers in return for a publishing fee)? | 0 1 2 3 4 |
| Subscription journals? | 0 1 2 3 4 |
| If you undertake peer review, what percentage of that peer review is open? | 0 1 2 3 4 |

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